

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13768.434

In Re Application Of: **John C. Dunn and Randall E. Aull**

Serial No.
Unassigned

Filing Date
09/09/03

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **PERIPHERAL DEVICE DATA TRANSFER PROTOCOL**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



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PERIPHERAL DEVICE DATA TRANSFER PROTOCOL

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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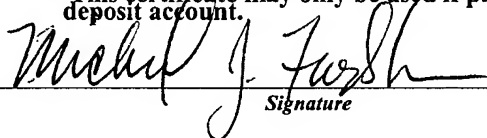
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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

Dated: September 9, 2003

Michael J. Frodsham
Registration No. 48,699
Customer No. 022913

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	John C. Dunn and Randall E. Aull)	
Serial No.:	Unassigned)	Art Unit
Confirmation No.:	Unassigned)	Unassigned
Filed:	September 9, 2003)	
For:	PERIPHERAL DEVICE DATA TRANSFER PROTOCOL)	
Examiner:	Unassigned)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED September 9, 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael J. Frodsham", written in a cursive style.

MICHAEL J. FRODSHAM

Attorney for Applicant

Registration No. 48,699

Customer No. 022913

MJF:ml

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 13768.434	Application Number Unassigned
	Applicant(s) John C. Dunn and Randall E. Aull	
	Filing Date 09/09/2003	Group Art Unit Unassigned

U.S. PATENT DOCUMENTS							
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,311,228	10/30/2001	Ray	709	321	08/06/1997
		6,378,035	04/23/2002	Parry et al.	711	110	04/06/1999
		6,360,220	03/19/2002	Forin	707	8	12/31/1998

FOREIGN PATENT DOCUMENTS								
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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
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		Cho, Kyoung-Youn and Choi, Kwang-ho; "A Novel Architecture of Home Gateway for Efficient Packet Process"; Conference Paper Institution of Electrical Engineers; 2002; pgs. 63-67
		Mahramian, M.; Yazdani, N.; Faez, K.; Taheri, H.; "Neural Network Based Alhorithms for IP Lookup and Packet Classification"; First EurAsian Conference Proceedings; 2002; Lecture Notes in Computer Science Vol. 2510 pgs. 204-211

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.